

11/16/00

11-20,00 #1

PTO/SB/05 (11-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	042390.P8276
First Inventor	Anne E. Miller
Title	SLURRY AND METHOD FOR CHEMICAL MECHANICAL POLISHING
Express Mail Label No.	EL034435664US

Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 20]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
 - Oath or Declaration [Total Pages 4]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
Prior application Information: Examiner _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

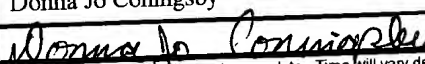
18. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label


08791
PATENT TRADEMARK OFFICE
(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Donna Jo Coningsby	Registration No. (Attorney/Agent)	41,684
Signature		Date	11/16/00

Burden Hour Statement: This form is estimated to take 6.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

10675 U.S. PTO
09/15282

11/16/00

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**FEE TRANSMITTAL
for FY 2000**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,156.00**Complete if Known**

Application Number	
Filing Date	November 16, 2000
First Named Inventor	Anne E. Miller
Examiner Name	
Group/Art Unit	
Attorney Docket No.	042390.P8276

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.☐ Applicant claims small entity status. See 37 CFR 1.27.

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****BASIC FILING FEE**

Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
		Code	Code	Code		
1		710	201	355	Utility filing fee	710.00
2		320	206	160	Design filing fee	
3		490	207	245	Plant filing fee	
4		710	208	355	Reissue filing fee	
5		150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00**EXTRA CLAIM FEES**

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
		Code	Code	Code		
1		27	20**	7	X	18.00 = \$126.00
2		7	3**	4	X	80.00 = \$320.00

*For number previously paid, if greater, For Reissues, see below

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
		Code	Code	Code		
1		103	18	203	9	Claims in excess of 20
2		102	80	202	40	Independent claims in excess of 3
3		104	260	204	135	Multiple Dependent claim, if not paid
4		109	80	209	40	**Reissue independent claims over original patent
5		110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 446.00**FEE CALCULATION (continued)****3. ADDITIONAL FEE**

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
		Code	Code	Code		
1		105	130	205	65	Surcharge - late filing fee or oath
2		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.
3		139	130	139	130	Non-English specification
4		147	2,520	147	2,520	For filing a request for reexamination
5		112	920*	112	920*	Requesting publication of SIR prior to Examiner action
6		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
7		115	110	215	55	Extension for response within first month
8		116	390	216	195	Extension for response within second month
9		117	890	217	445	Extension for response within third month
10		118	1,390	218	695	Extension for response within fourth month
11		128	1,890	228	945	Extension for response within fifth month
12		119	310	219	155	Notice of Appeal
13		120	310	220	155	Filing a brief in support of an appeal
14		121	270	221	135	Request for oral hearing
15		138	1,510	138	1,510	Petition to institute a public use proceeding
16		140	110	240	55	Petition to revive - unavoidable
17		141	1,240	241	620	Petition to revive - unintentional
18		142	1,240	242	620	Utility issue fee (or reissue)
19		143	440	243	220	Design issue fee
20		144	600	244	300	Plant issue fee
21		122	130	122	130	Petitions to the Commissioner
22		123	130	123	130	Petitions related to provisional applications
23		126	180	126	180	Submission of Information Disclosure Stmt
24		581	40	581	40	Recording each patent assignment per property (times number of properties)
25		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))
26		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))
27		179	710	126	355	Request for Continued Examination (RCE)
28		169	900	169	900	Request for expedited examination of a design application

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00**SUBMITTED BY**

Name (Print/Type) Donna Jo Coningsby

Registration No. (Attorney/Agent)

41,684

Telephone

(503) 684-6200

Signature

Donna Jo Coningsby

Date

11/16/00

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